

L&R

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

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13281 U.S.PTO

22859 U.S.PTO
10/8/17416

040204

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new non provisional applications under 37 CFR 1.53(b))

Attorney Docket No. NC 95,855

First Inventor GOODMAN

Title DUAL BAND SUPERHETERODYNE RECEIVER

Express Mail Label No.

APPLICATION ELEMENTS		ADDRESS TO:	
See MPEP chapter 600 concerning utility patent application contents.			
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)		Assistant Commissioner for Patents	
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.		Box Patent Application	
3. <input checked="" type="checkbox"/> Specification [Total Pages 33] <ul style="list-style-type: none"> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 		Washington, DC 20231	
4. <input checked="" type="checkbox"/> Drawing(s) (35 U. S. C. 113) [Total Sheets 7]			
5. Oath or Declaration [Total Pages 1] <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> Newly executed (original or copy) Copy from a prior application (37 CFR 1.63 (d)) b. <input type="checkbox"/> (for continuation/divisional with Box 18 completed) 			
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76			
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1, 76:			
<input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP)		of prior application No. _____	
Prior application information: Examiner _____ Group Art Unit. _____			
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.			

19 CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	26384 (Insert Customer No. or attach bar code label here) CUSTOMER NUMBER	or <input type="checkbox"/> Correspondence address below	
Name	Naval Research Laboratory, Code 1008.2		
Address	4555 Overlook Ave., S.W., Code 1008.2		
City	Washington	State DC	Zip Code 20375-5320
Country	US	Telephone 202-404-1552	Fax 202-404-7380
Name (Print/Type)	Sally A. Ferrett	Registration No. (Attorney/Agent)	46,325
Signature	<i>Sally A. Ferrett</i>		Date 4/2/04

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The PTO did not receive the following
listed item(s) FIG. 6 OF DRAWINGS

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040204
01919 U.S.P.T.O.

FEE TRANSMITTAL

for FY 2004

Effective 0110112003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 1536)

Complete if Known

Application Number	
Filing Date	April 2, 2004
First Named Inventor	GOODMAN, Daniel J.
Examiner Name	unknown
Art Unit	unknown
Attorney Docket No.	95,855

METHOD OF PAYMENT (check all that apply)

 Check Credit card Money Order Other None
 Deposit Account:

Deposit Account Number 50-0281

Deposit Account Name NAVAL RESEARCH LABORATORY

The Commissioner is authorized to: (check all that apply)

 Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 375	Utility filing fee	770.00
1002 340	2002 165	Design filing fee	
1003 530	2003 260	Plant filing fee	
1004 770	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1) (\$ 770)			

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Fee from	Extra Claims below	Fee Paid
Total Claims	53	-20- =	33	x 18 .594
Independent Claims	5	- 3 - =	2	x 86 .172
Multiple Dependent				

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18		2202 9 Claims in excess of 20
1201 86		2201 42 Independent claims in excess of 3
1203 290		2203 140 Multiple dependent claim, if not paid
1204 86		2204 42 Reissue independent claims over original patent
1205 18	2205 9	Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$ 766)		

* or number previously paid, if greater. For Reissues, see above

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 205	Extension for reply within second month	
1253 950	2253 465	Extension for reply within third month	
1254 1480	2254 725	Extension for reply within fourth month	
1255 2010	2255 985	Extension for reply within fifth month	
1401 330	2401 160	Notice of Appeal	
1402 330	2402 160	Filing a brief in support of an appeal	
1403 290	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 650	Petition to revive - unintentional	
1501 1,330	2501 650	Utility issue fee (or reissue)	
1502 480	2502 235	Design issue fee	
1503 640	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 375	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify) _____

• Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0)

SUBMITTED BY

Name (Print/Type)	Sally A. Ferrett	Registration No. (Attorney/Agent)	46,325	Telephone (202) 404-1551
Signature	Sally A. Ferrett		Date	4/1/04

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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